

**October 26, 2015  
The Studio, Birmingham**

**The UKPB  
Un-Conference**



**Opening paths, while  
walking....**

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**Centre for Social Studies, University of Coimbra (Portugal)**

**Co-chair of the Independent Authority for Participation of Tuscany Region**



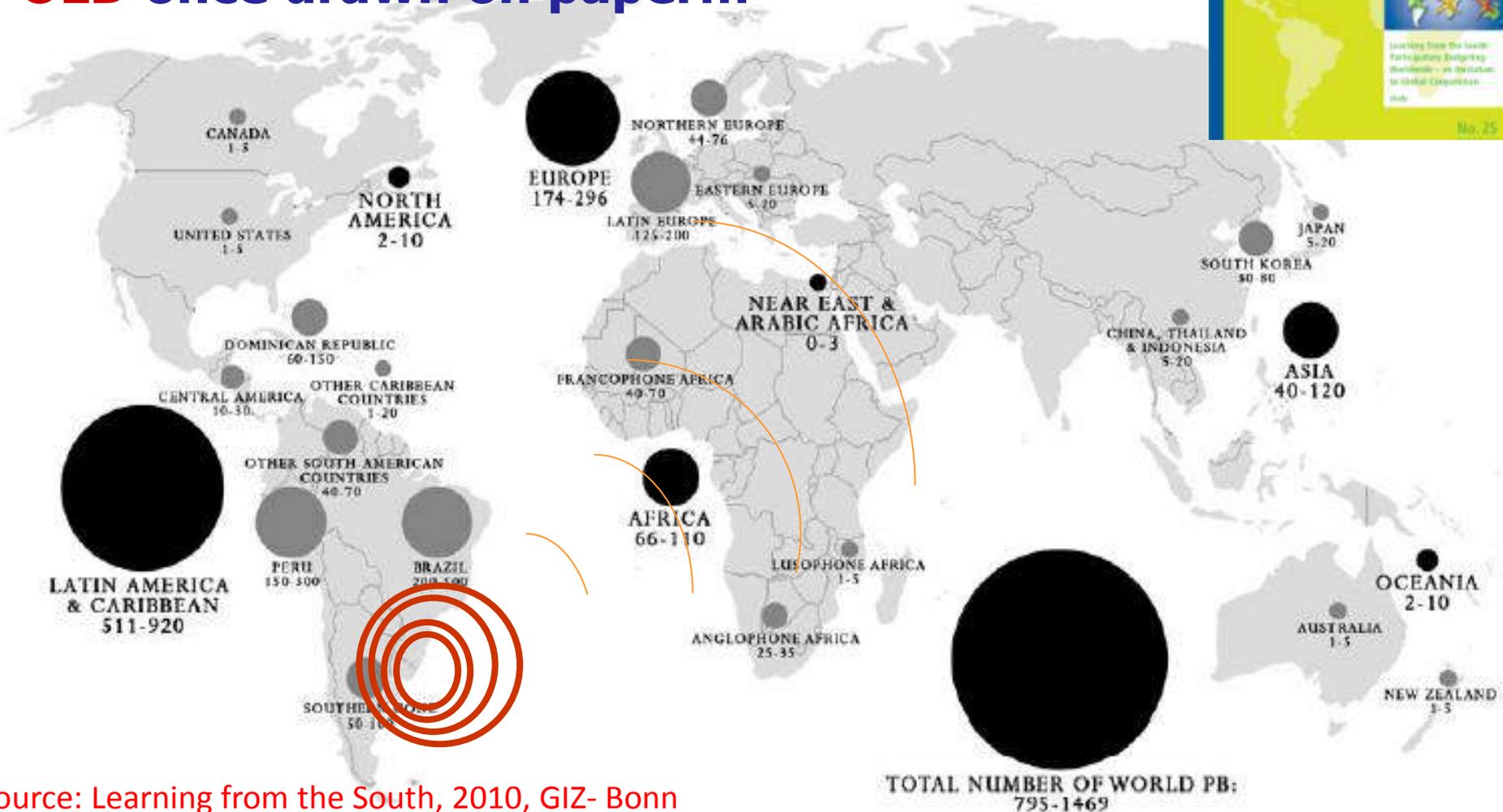
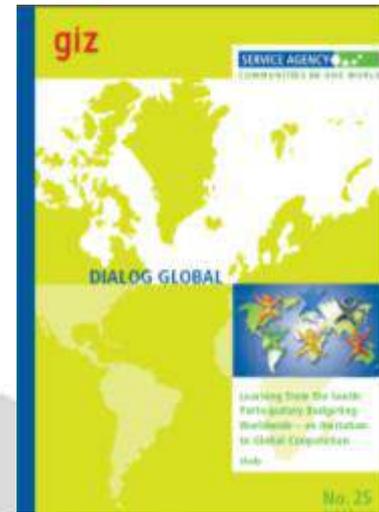
Centro de Estudos Sociais  
Laboratório Associado  
Faculdade de Economia da Universidade de Coimbra





**“Utopia lies at the horizon.  
When I draw nearer by two steps,  
it retreats two steps.  
If I proceed ten steps forward, it  
swiftly slips ten steps ahead.  
No matter how far I go, I can never  
reach it.  
What, then, is the purpose of  
utopia?  
It is to cause us to advance.”  
— Eduardo Galeano**

Born in some small cities of Brazil in 1986,  
 and having Porto Alegre as the most  
 prominent city with a radical experiment  
 PB moves through **maps which are always  
 OLD** once drawn on paper...

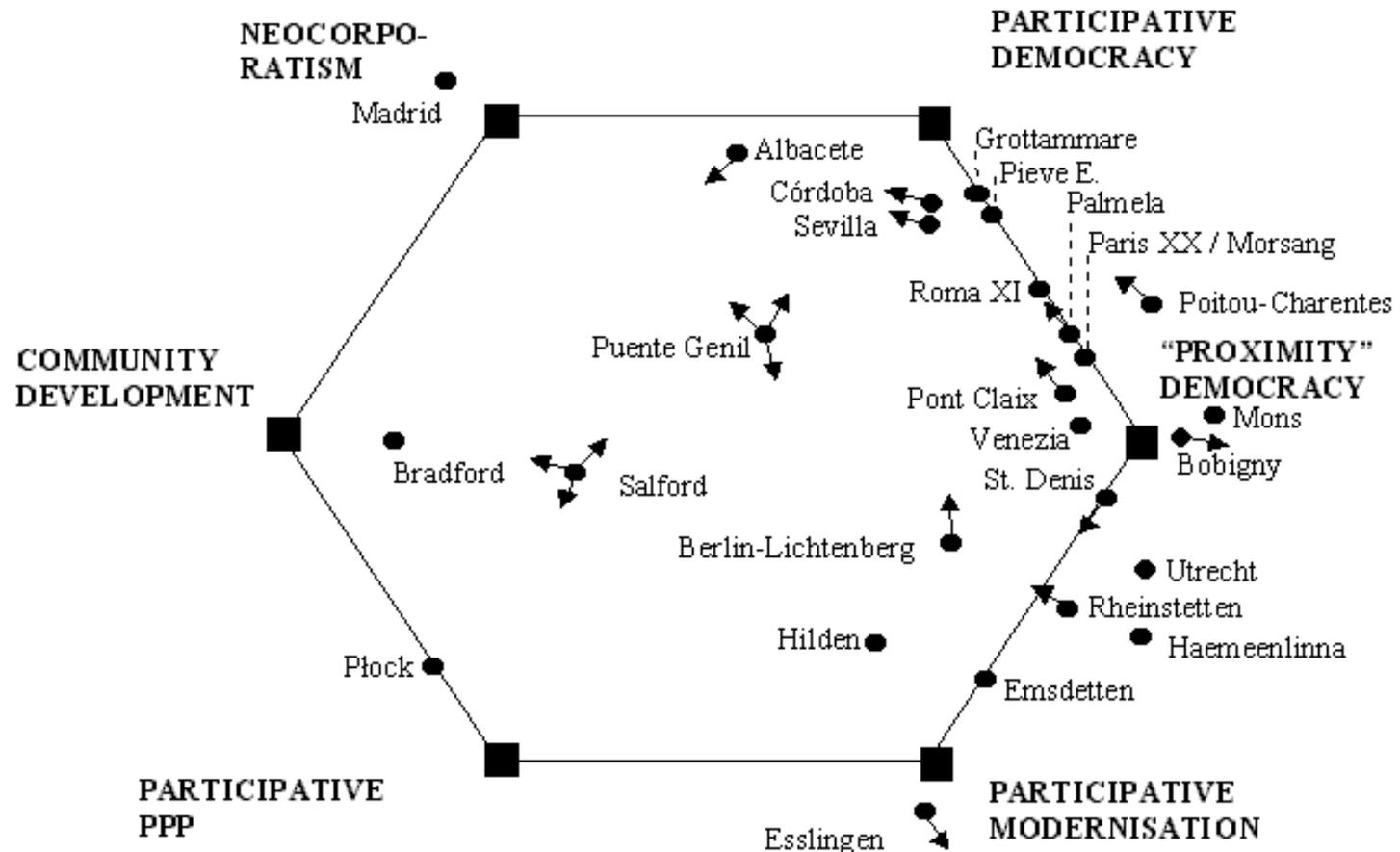


Source: Learning from the South, 2010, GIZ- Bonn

*This is because when we talk about Participatory Budgeting (PB), we are referring to an IDEOSCAPE, i.e. a model travelling around the world, which becomes real in the different places where it happens, and whose name is not necessarily that representative...*

**participativo** - Budżet Partycypacyjny - **Bilancio Partecipativo** - **deltagend**  
**Deltagende Budsjet** - **Buxhetimi me pjesëmarrje** - Orzamentos particip  
**Budget Participatif** – **Bilancio Partecipativo** – الميزانية التشاركية  
**Medborgarbudget** – **Orçamento Participativo** – **Burgerhausha**  
**- Participatory Budget** – **Bilancio Partecipativo** - **Pressupostos**  
**Participatius** – **Presu-puestos Participativos** – **Budget**  
**Participatif** – **Bilancio Parte cipativo** – **Medborgarbudget** –  
**Orçamento Participativo** - **Burgerhaushalt** - **Participatory Budget**  
– الميزانية التشاركية – **Bilancio Partecipativo** – **참여 예산** – **Bud**  
**Orçamento Participativo** – **Burgerhaushalt** - **Participatory**  
**Budget** – **Bilancio Partecipativo** - **参与式预算** – **Particip**  
**Presupuestos Participativos** – **σ υ μ μ ε τ ο χ ι κ ο ς**  
**π ρ ο ù π ο λ ο γ ι σ μ ο ς** – **תקציב השתתפותי** - **Budget p-**  
**Orçament Participativ** – **participační rozpočet** - **Participatory**  
**Budget** – **П А Р Т И С И П А Т И В Н Ы Й Б Ю Д Ж Е Т** - **Bilanc**

We can represent this “moving creature” in space and in quality through “orientative” Weberian maps, but – for that – we **need to relate it with different models of democracy and site.-specific forms of governance...**

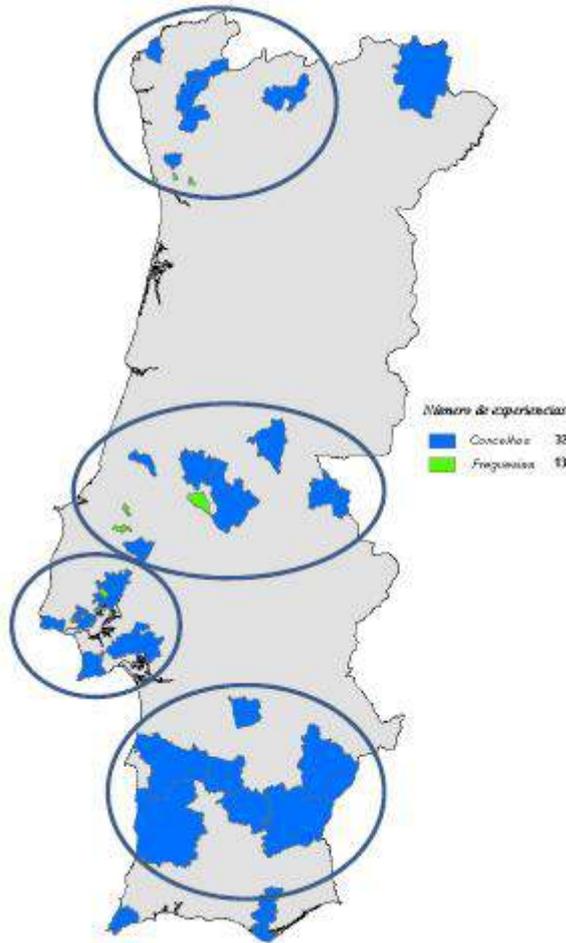


As well suggested by Graham Smith in “Democratic Innovations”, one of **the major risk** – when judging a participatory process, **is to compare it with an abstract and unrealistic model of perfection**, rather than trying to catch in which direction it incrementally contribute to improve the panorama existing in that place before it....

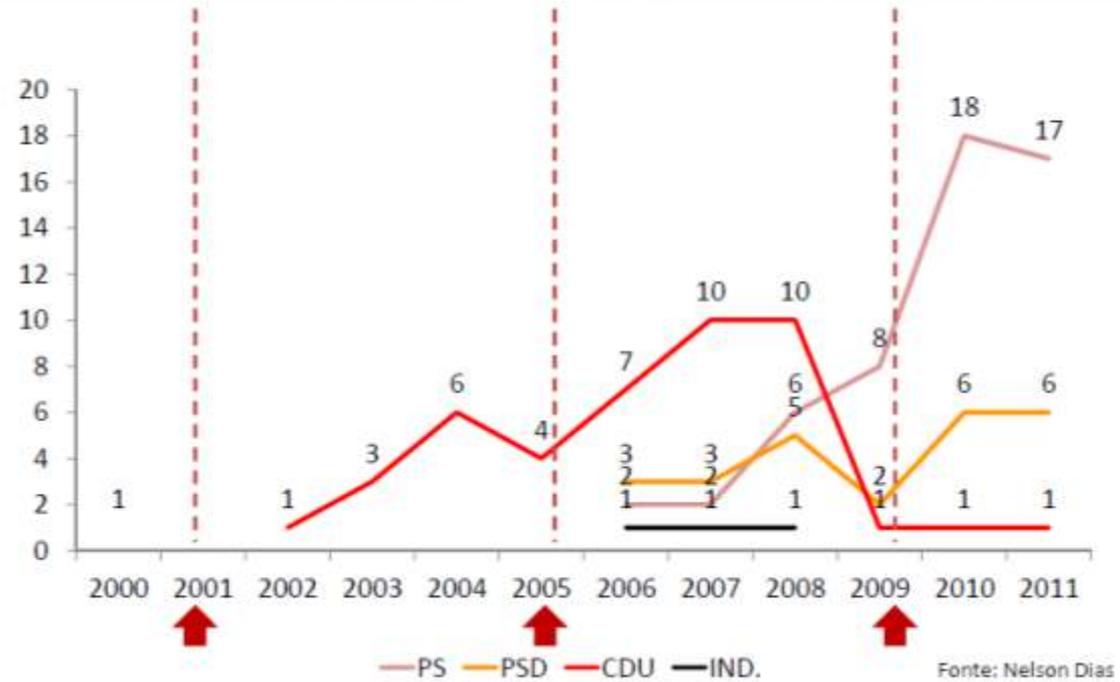
That’s why I will try to do a constructive exercise. Through evidencing “what is still missing” in the growing panorama of PBs, we would highlight **open challenges** and **minority paths on pivotal tracks**...which could be emulated and multiplied for the future



The Portuguese experiences demonstrated the fragility of PBs which were badly-conceived and only consultative (until 2008)...but this year we have 125 active experiences (out of 308 municipalities)



OP's em curso por "equipa" de governo entre 2000 e 2011

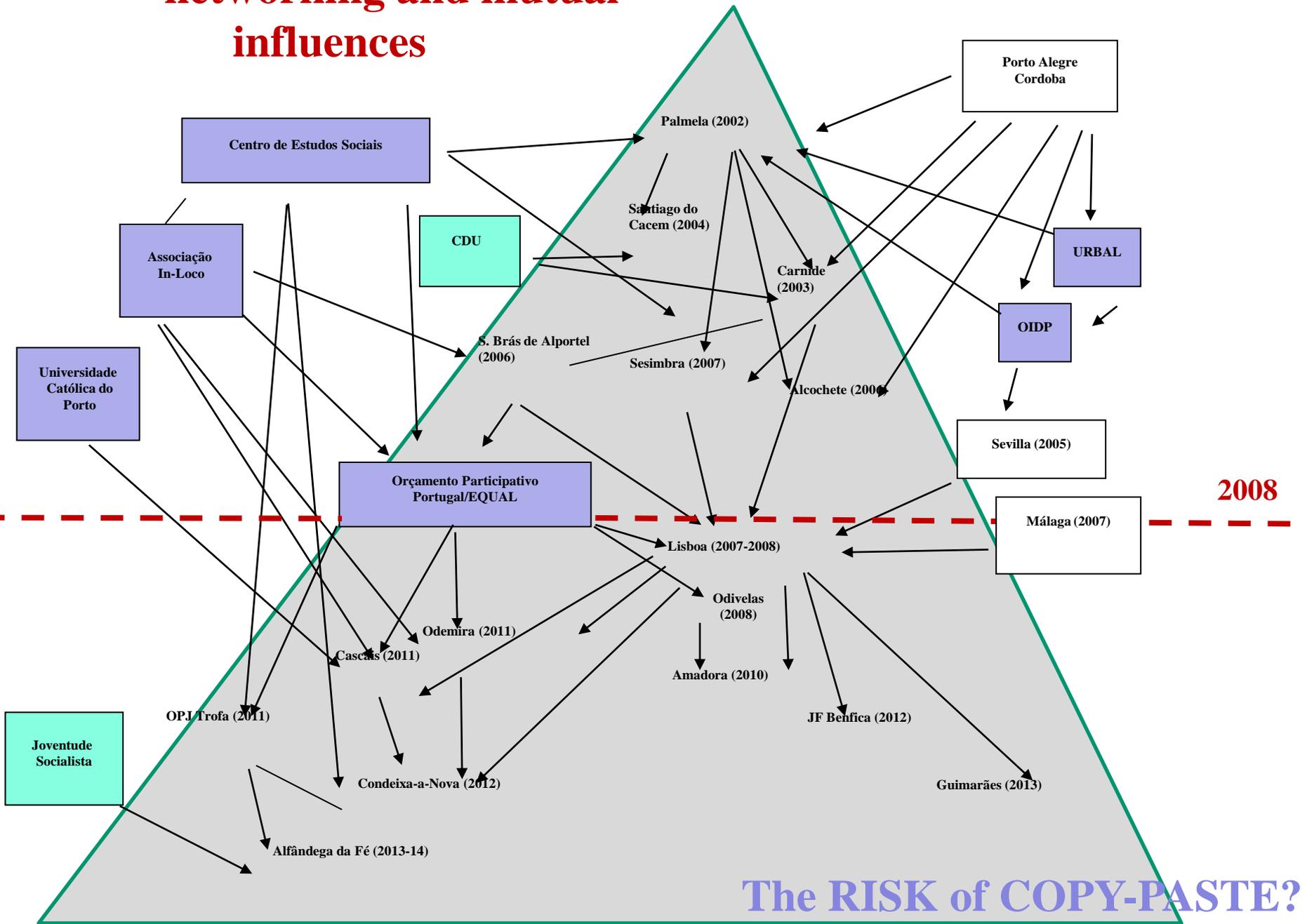


And their deliberative quality is undoubtedly growing

A clear approach to modify the sense of  
**“DECISION-MAKING”** through privileging  
co-decisional models



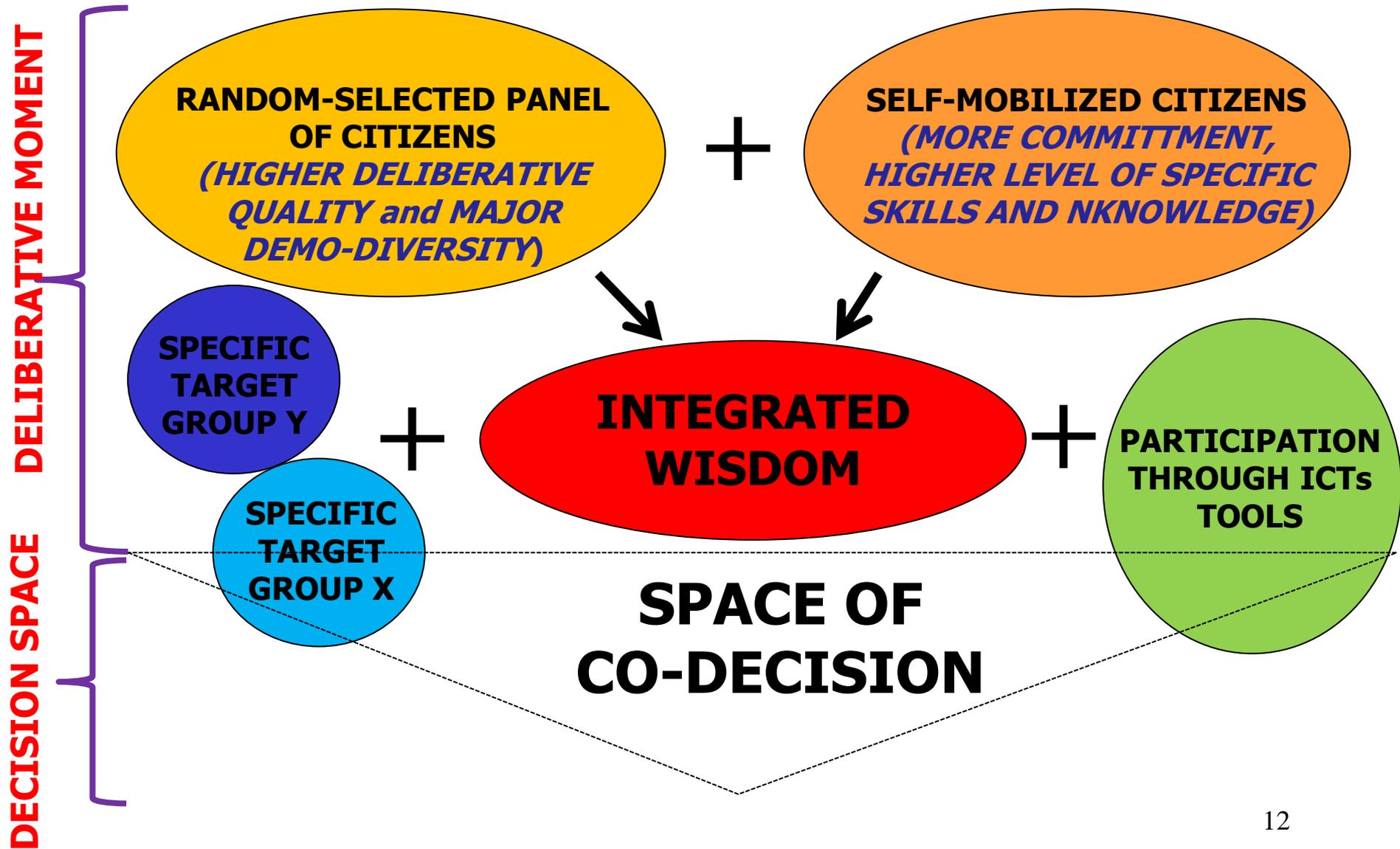
# Through networking and mutual influences



The RISK of COPY-PASTE?



... raising quality and *demodiversity* of processes through “mixed structures”



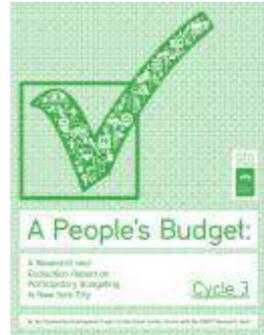
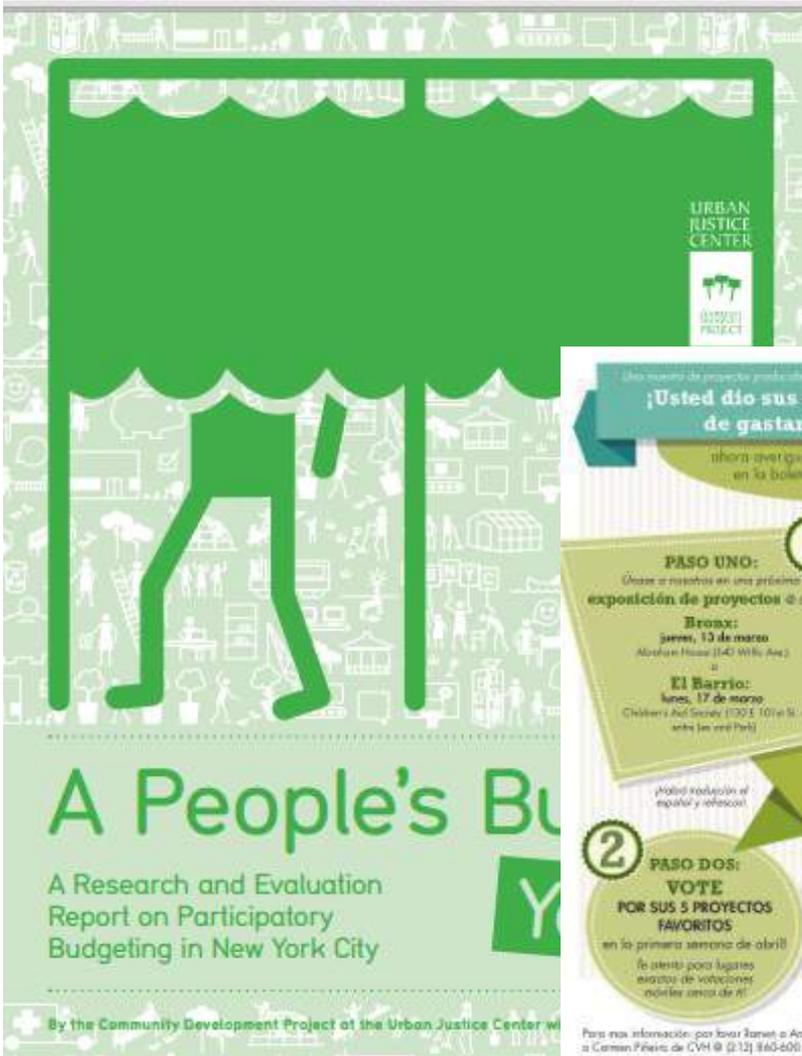
# NOT BELIEVING IN THE TRICKLE-DOWN EFFECT! INVESTING IN INCLUSION

Although North-American OPBs try to rescue the social dimension of PB (with a human-right oriented approach) while SWEDEN in 2015 made the work with refugees CENTRAL

## CONTRASTANDO O PARADIGMA PATRIARCAL

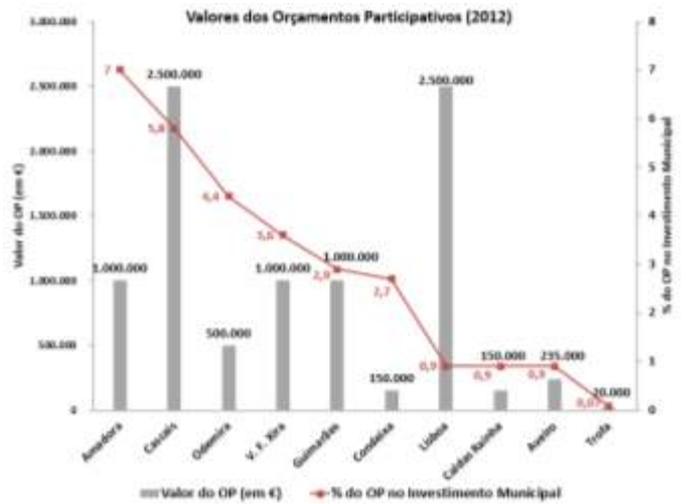
From monitoring participants emerged that:

- 1) “women were more likely to participate in all the stages of PB; in 2012-2013 women were 66% of neighborhood assembly participants, 60% of budget delegates and 62% of voters in the PB process (as for the previous year).
- 2) women also were active in their participation: 92% of them declared that they “spoke” during the different phases of PB community organization and during the small group discussions at neighborhood assemblies.
- 3) As stated in the detailed analysis of 39 District results (id., p. 84), community-based institutions have been key resources in building trust and engaging women (and especially the formerly incarcerated) in civic participation.
- 4) At 2009 elections women voting were 52%.



Para más información, por favor llamar a Andrew King @ (212) 625-9100 o Carmen Pérez de CVN @ (212) 860-6061.

For more information, please contact Andrew King @ (212) 625-9100 or Carmen Pérez de CVN @ (212) 860-6061.



Increasing volumes of resources (in Paris 71-75 millions of € per year)...

OPINIONS > BLOGS > LA TRIBUNE DES MUNICIPALITES 2014

## Anne Hidalgo promet un budget participatif aux Parisiens

MUNICIPALES 2014

La candidate socialiste propose que 5% du budget annuel d'investissement, soit 71 millions d'euros, soient laissés à la décision des parisiens

Jean-Christophe Chanut | 10/03/2014, 12:29 - 610 mots

Si elle est élue, Anne Hidalgo veut consacrer annuellement 5% du budget (71 millions d'euros) à l'investissement dans des projets qui seraient directement

### DERNIERE MINUTE

- 14:13 - La Russie veut renforcer sa présen...
- 14:04 - La Côte d'Ivoire va remettre Charl...
- 13:49 - Wall Street ouvre en baisse, Yell...
- 13:36 - L'armée syrienne reprend le Kral...
- 13:13 - Swatch voit ses ventes progress...

1 / 5 DE DÉPÊ

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Chaque instant, SUR LA TRIBUNE.FUTURE

LA TRIBUNE L'ÉCONOMIE DE DEMAIN S'ÉCRIT AUJOURD'HUI

LA TRIBUNE BOURSE



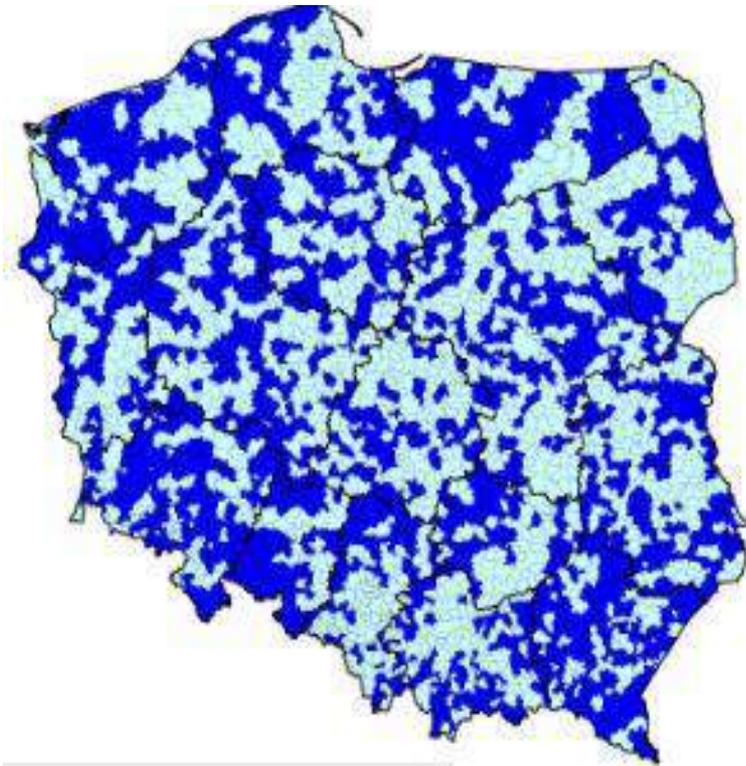
# The great creativity of Madagascar show the strenght of networks



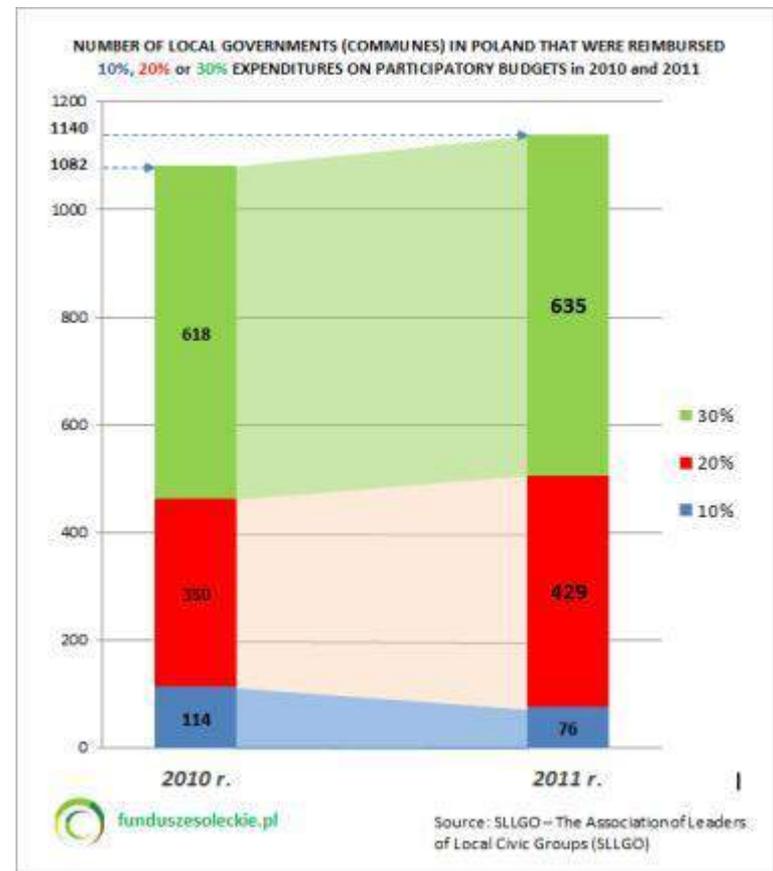
Where MINING AREAS managed to have and distribute more resources to citizens through PB



“Scaling up” could need a tight collaboration between a critical civil society and open institutions, in order to improve the quality of processes and not only their quantity... The case of Solecki Funds in Poland (since 2009): more than 1112 PBs created in 3 years and a court obligation to disclose the names of all participants....



Fonte: SLLGO, 2012

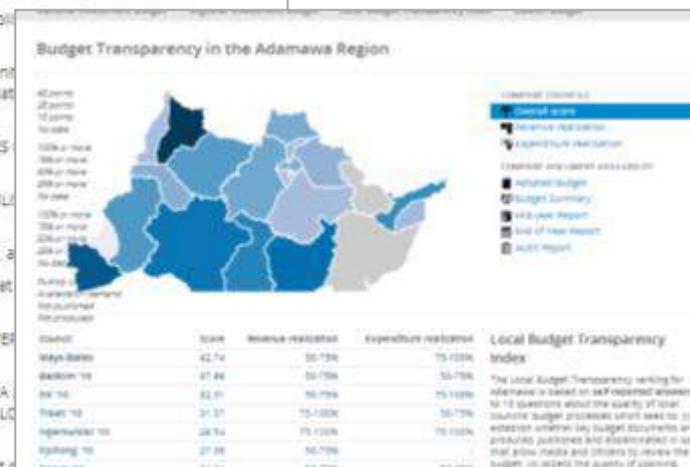
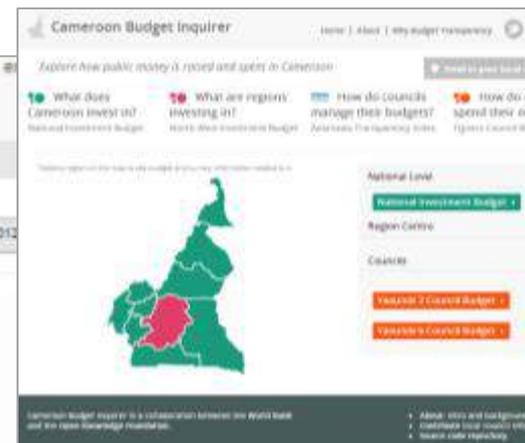
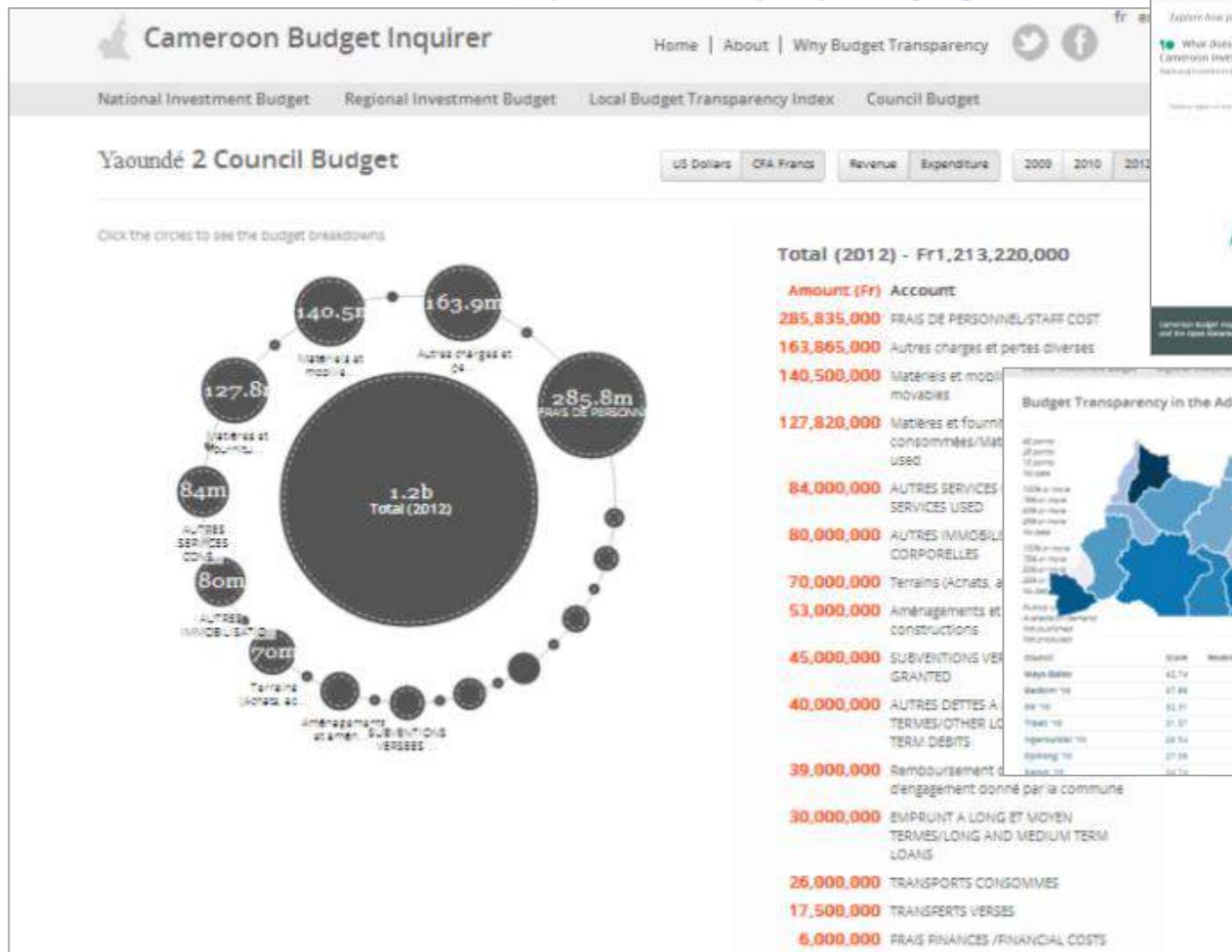


[ some  
gray zones  
remain... ]



Lathough exemple as Camerron show a connection between transparency and participation.

<http://cameroon.openspending.org/en/>



AN HYPOTHESIS: It can depend from the “vectors” which promoted PB in the South.

# LACK of SUPPORT INFO for DEFINING LARGE PLANS and accessing materials on structural choices to support people empowerment and capacity building....

## DI COSA PARLIAMO?

### IL SETTORE DELLA MANUTENZIONE

L'Ufficio Manutenzione strade e edifici comunali si occupa della manutenzione ordinaria e straordinaria delle strade, dell'illuminazione e degli edifici pubblici del Comune di Arezzo. Per facilitare la discussione e la definizione delle priorità di intervento del bilancio partecipativo, di seguito sono riportati i principali interventi che l'Ufficio Manutenzione ha realizzato nel 2011 e alcuni esempi di costo di manutenzione straordinaria.

Quali interventi del settore Manutenzione nel 2011?

- Illuminazione della strada di Morronie 16.000 €
- Sistemazione dei marciapiedi in via Golgi 28.000 €
- Realizzazione marciapiedi in via Fredi 40.000 €
- Realizzazione di marciapiedi in via del Plesco 35.000 €
- Lavori di manutenzione straordinaria del fondo stradale e dei marciapiedi con impiego di pubblica illuminazione in via Ureno 92.000 €
- Installazione di bagno chimico autopulente nel Parco Arno (acquisto, allestimento, opere edili e di installazione) 54.000 €

#### ESEMPLI DI COSTO

- Asfaltatura strada circa 18 €/mq
- Risanamento sede stradale circa 31,60 €/mq
- Realizzazione nuovi tratti marciapiedi circa 98,40 €/mq
- Risarcimento marciapiedi circa 42 €/mq
- Illuminazione pubblica nuovo punto luce 1320 €

TUTTI INTERVENTI FINANZIATI CON I BILANCI PARTECIPATIVI DEGLI ANNI PRECEDENTI



### Quadro do Orçamento da Família Sousa

Receitas	Valores Reais por mês	Despesas	Valores por mês
Ordemado Maria	200 euros	Arrendamento	365 euros
Ordemado Pedro	300 euros	Alimentação	110 euros
		Aluguer	260 euros
		Água	34 euros
		Electricidade	58 euros
		Gas	27 euros
		Transporte	325 euros
		Salário de Sr. Maria	100 euros
		Prestações Mensais (pagamento de Nova Televisão)	80 euros
		Televisão	33 euros
	2.300 euros	Total	1.638 euros



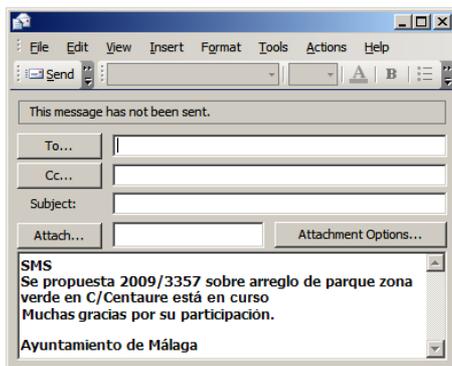
### Quadro das Despesas e Receitas Previstas / 2012

Receitas	Valores por mês	Despesas	Valores por mês
Receitas de capital (venda de bens de investimento, transferências de capital, ativos e passivos financeiros)	6.389.200 euros	Aquisição de bens e serviços, custo da Administração Autárquica, salários dos funcionários	9.245.000 euros
Receitas correntes		Escolas	38.200 euros
Impostos diretos	2.006.231 euros	Viagens, arrendamentos	475.530 euros
Taxes, multas, penalizações	215.600 euros	Iluminação pública	26.010 euros
Benefícios da propriedade	402.284 euros	Piquetes e jardins	46.000 euros
Transferências correntes	3.322.000 euros	Viagem rural	723.010 euros
Venda de bens e serviços correntes	2.678.703 euros	Seguro e distribuição de água	306.010 euros
Outras receitas correntes	758.174 euros	Outras despesas de capital, custos de investimentos e operações financeiras	4.944.020 euros
Total	15.794.400 euros	Total	15.794.400 euros

# The risk of hyper-simplifying the process for capturing big numbers, without controls on voters and a possibility to receive feedback from them.

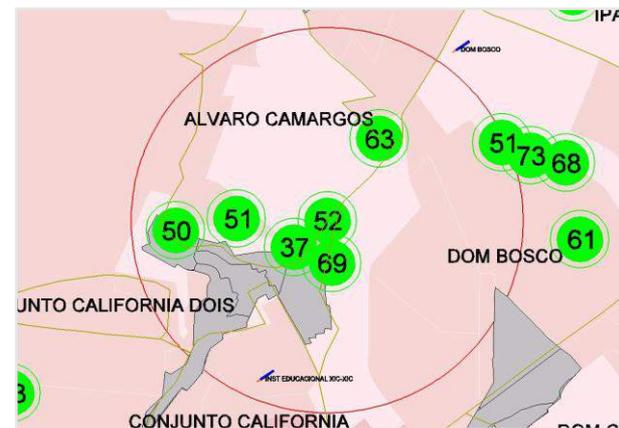
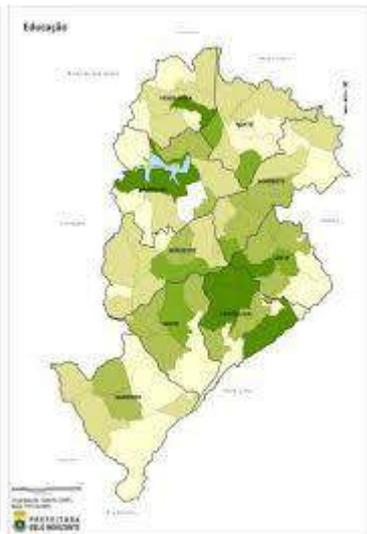


...Acaba-se não conhecendo os participantes e não podendo ampliar a DEMODIVERSIDADE do públçico



# THE LACK OF GOALS of SOCIAL JUSTICE and SOLID INCLUSION, as in the first Brazilian PBs in the '90s....

REGIÃO	PRIORIDADE DA REGIÃO			CARENCIA DE INFRA-ESTRUTURA			POPULAÇÃO DA REGIÃO			TOTAL	RECURSOS	DEFEIÇÕES
	SÍMIA	MESE	DESEMPREGO	SÍMIA	MESE	DESEMPREGO	SÍMIA	MESE	DESEMPREGO			
ILUMATA, NAVES IMBAS	1	1	1	1	1	1	1	1	1	1	1	1
NOROESTE	1	1	1	1	1	1	1	1	1	1	1	1
LESTE	1	1	1	1	1	1	1	1	1	1	1	1
LONDA DO PENTEIRO	1	1	1	1	1	1	1	1	1	1	1	1
NORTE	1	1	1	1	1	1	1	1	1	1	1	1
NORDESTE	1	1	1	1	1	1	1	1	1	1	1	1
PARTENON	1	1	1	1	1	1	1	1	1	1	1	1
RESTINGA	1	1	1	1	1	1	1	1	1	1	1	1
GLORIA	1	1	1	1	1	1	1	1	1	1	1	1
UNIZILIKU	1	1	1	1	1	1	1	1	1	1	1	1
CRISTAL	1	1	1	1	1	1	1	1	1	1	1	1
CENTRO SCL.	1	1	1	1	1	1	1	1	1	1	1	1
EXTREMO SUL	1	1	1	1	1	1	1	1	1	1	1	1
EXO B-ALTAEAR	1	1	1	1	1	1	1	1	1	1	1	1
SCL.	1	1	1	1	1	1	1	1	1	1	1	1
CENTRO	1	1	1	1	1	1	1	1	1	1	1	1
TOTAL	1	1	1	1	1	1	1	1	1	1	1	1



Em Portugal são desenvolvidas poucas acções com o objectivo de promover a INCLUSÃO SOCIAL dentro dos OP (pouco mencionada nas Cartas de Princípios), com a excepção de alguns OP-Jovem (e de alguns casos de “Assembleias Actorais” com target específico). Não existem sistemas de quotas de participação ligadas à nomeação de delegados populares....

“Caravanas” (collective visits to study the places where projects have been proposed) are a means for overcoming the “affective maps”



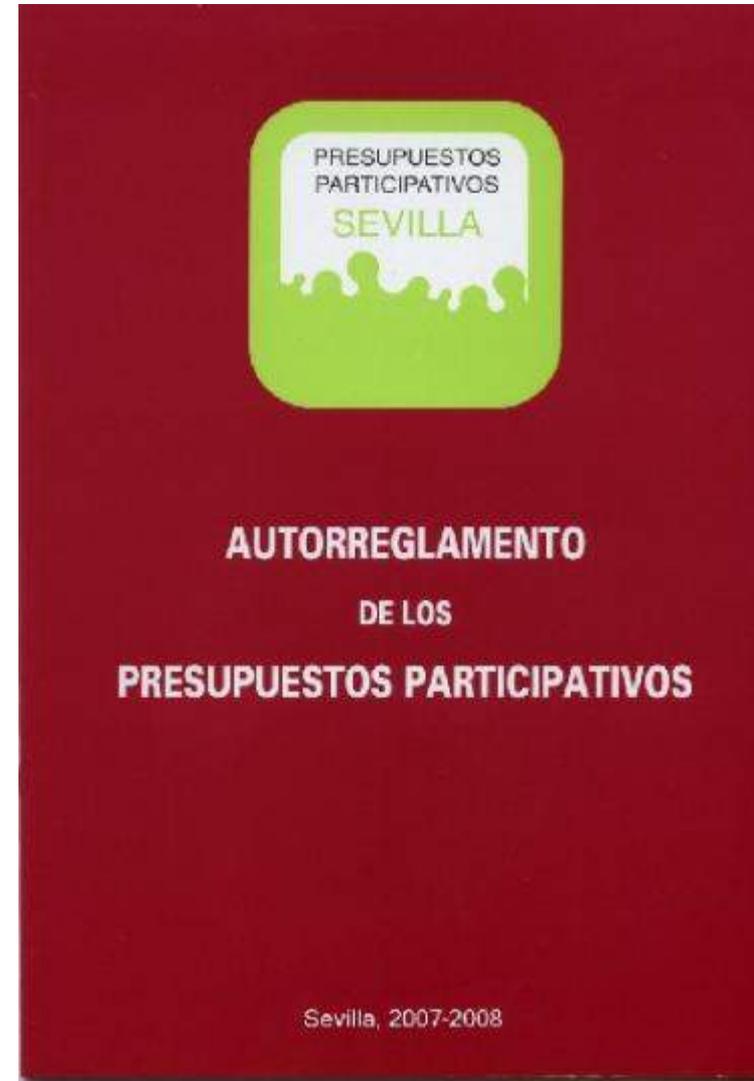
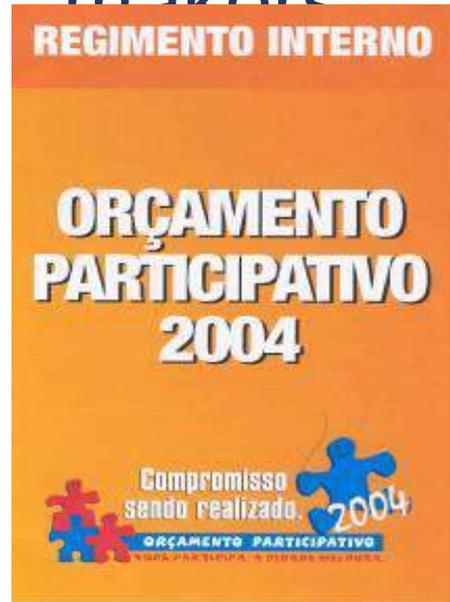
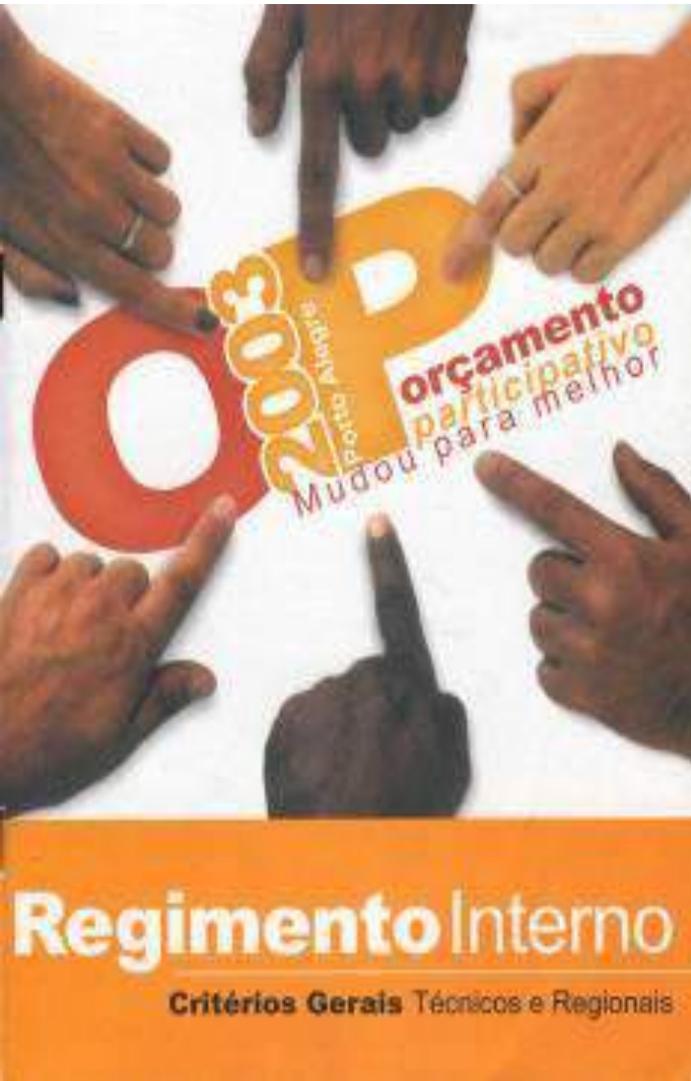


Even many of the PB FOR YOUNGEST PEOPLE (as that of boston), which are popping-up around the world, work on special areas of inclusion...

The case of La Serena (Chile), and Funchal (Madeira)

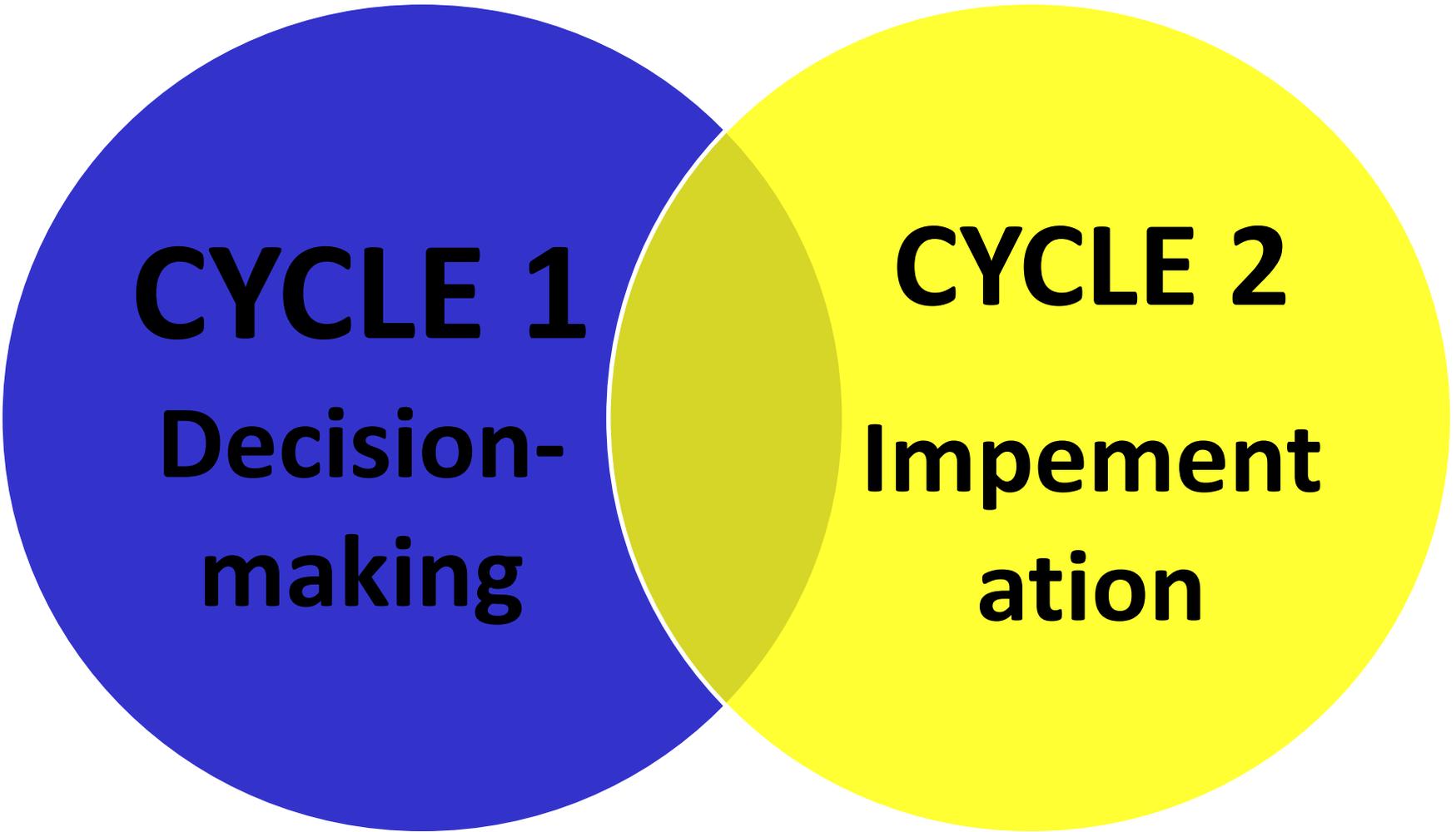


# Still rare the cases in which citizens pass from being decision-makers to a new role of Rule-makers



[ New  
trends]

**Awareness about the fact that PB is composed by two complementary cycles and the second is very important.**



**CYCLE 1**

**Decision-  
making**

**CYCLE 2**

**Impement  
ation**





# Gender Responsive Participatory Budgeting (GRPB)

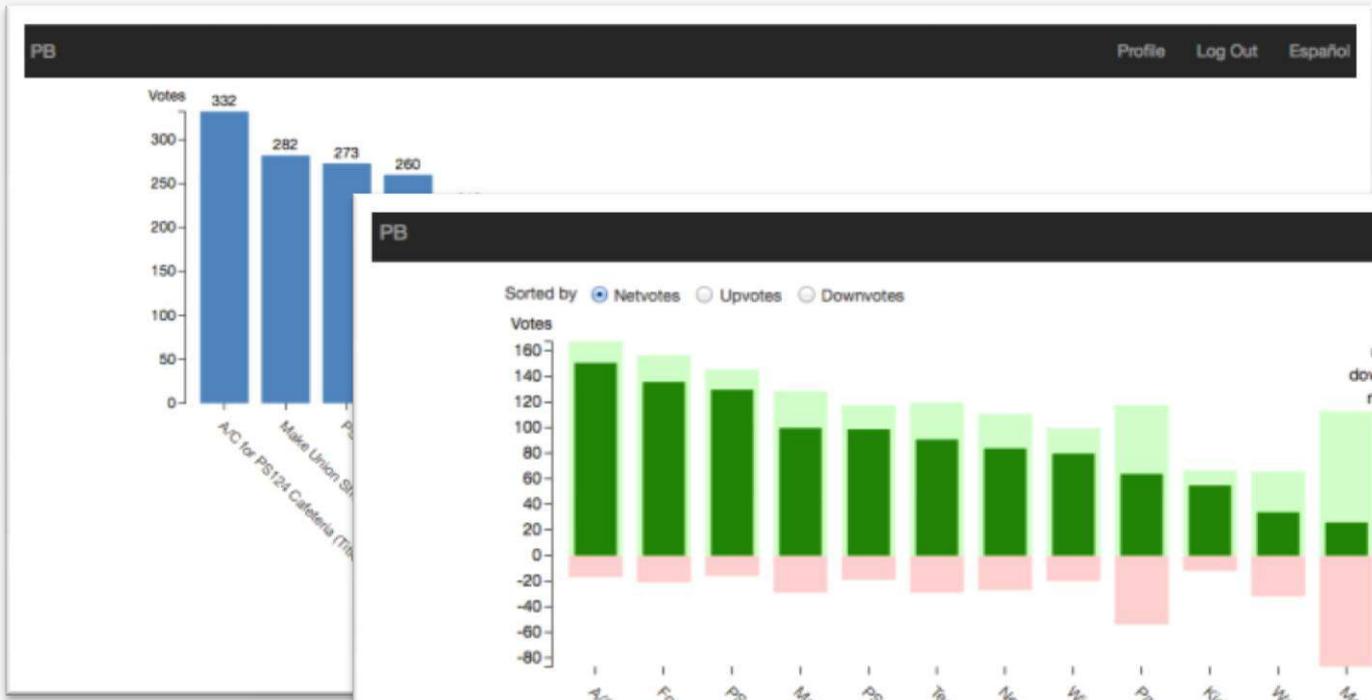
Then awareness that “the devil hides in details”, thus requiring innovation in methodologies and quality of voting (the example of D21 in Tzech Republic)



através do voto múltiplo e do voto negativo

# Analysis of CONVERGENCIAS and DIVERGENCIAS

between choices (in New York) through multiple and negative voting.



depois



Antes

# Images of a path from individualism to citizenry (not community but an open collective space for individuals and groups)

CICLOVIA  
MARIQUE - TIRES

Segurança dos  
peões na Estrada  
de Rova

PARQUE INFANTIL  
E CIRCUITO DE  
MANUTENÇÃO  
(BRANÇAS AZUIS)

ARBORISMO  
BOSQUE DOS  
GRIOS

CADEIRAS DE RODAS  
PARA O PAREDÃO

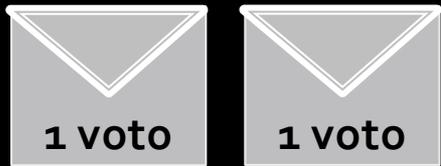
REQUALIFICAÇÃO  
PRACETA GIL  
VICENTE  
- QTA. CARREIRA -

100% MÓVEL  
ESTAÇÃO - CENTRO DE SAÚDE

# Multiple voting through PBs (by city, 2013)

*Helps people to "listen to the others" and lower competition....*

## Cascais



2 votes in the filtering phase (one online)



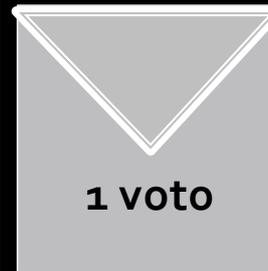
## Lisboa

2 FINAL VOTES  
(1 fore big works til 500,000; two no)



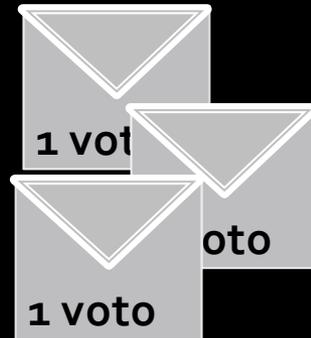
## Trofa

3 final votes with different weight



## Condeixa

4 final scores to make the CES and FEUC dialogue...



**WIRED**

Will Facebook be a catalyst for civic participation?

No, it just makes citizens feel **as if** they have participated...



“...I have yet to come up with something **practical** that allows **lazy people** 😴 **engage in real action.**” — 張大春 (2013)

**Awareness (as in the law-making processes on Uber and AirB&B in TAIWAN) that is needed to study dynamics of cooperation**

All-Day Hackathon



人

Contribute

Participate

坑

松

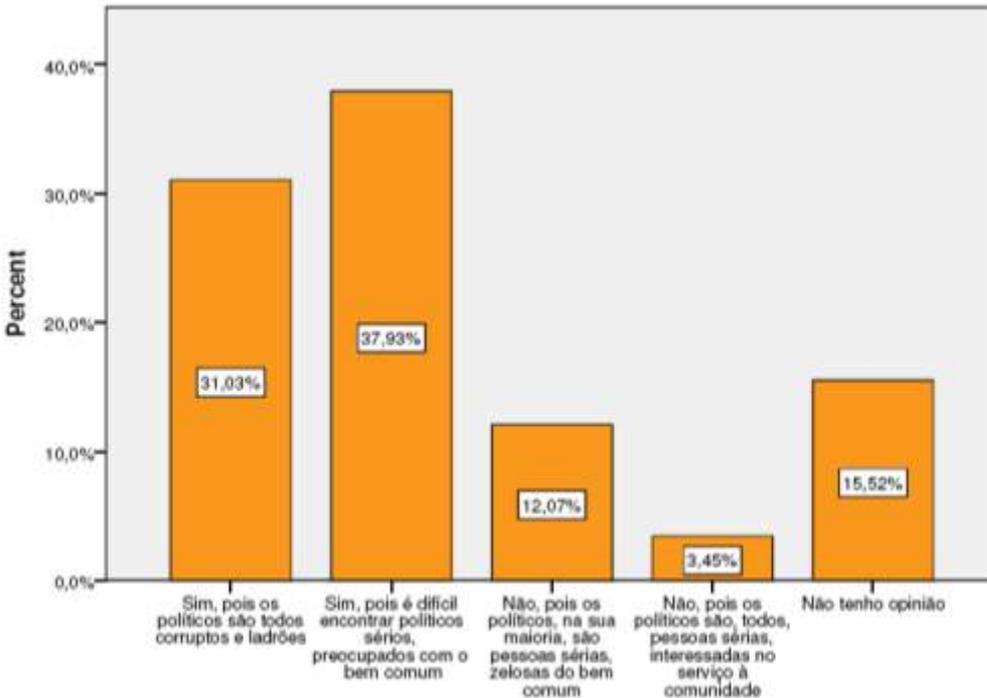
Pitch 🏠 — Hack 🛠️ — Present 📁

People who vote similarly are grouped. Click a group to see which viewpoints they share

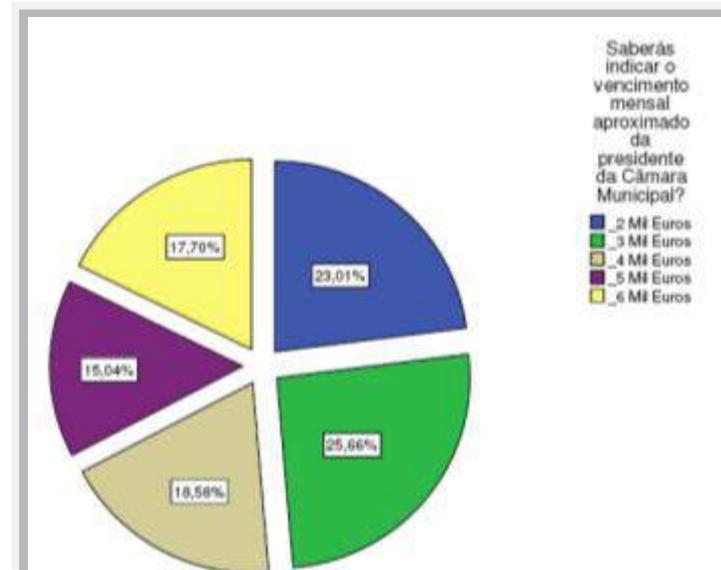
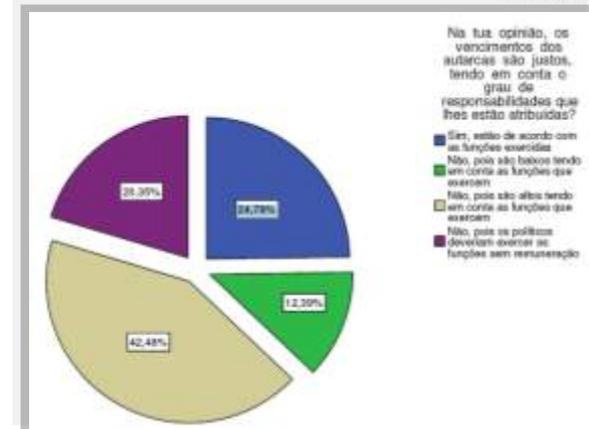


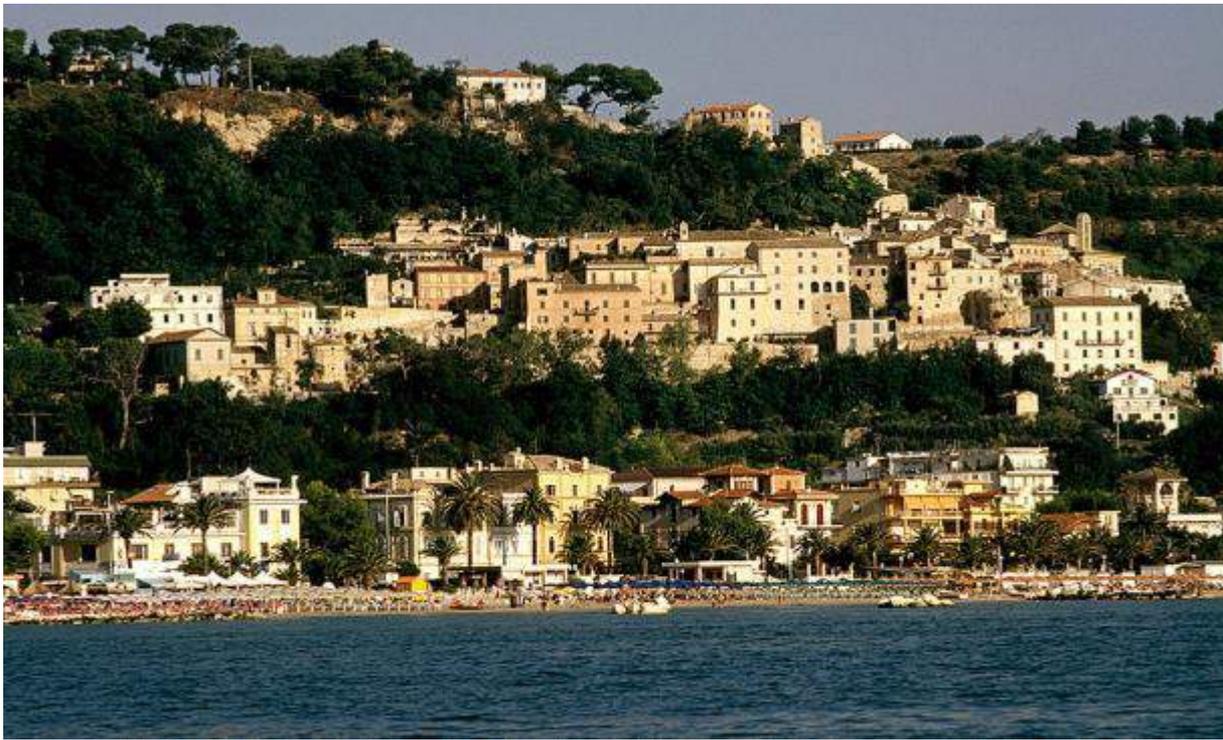
pol.is

# Em Studies on impact of PB on youngster people in TROFA.



Já ouviste dizer que os autarcas são pessoas pouco honestas e apenas preocupados com os seus próprios interesses. Na tua opinião, esta acusação é justa?



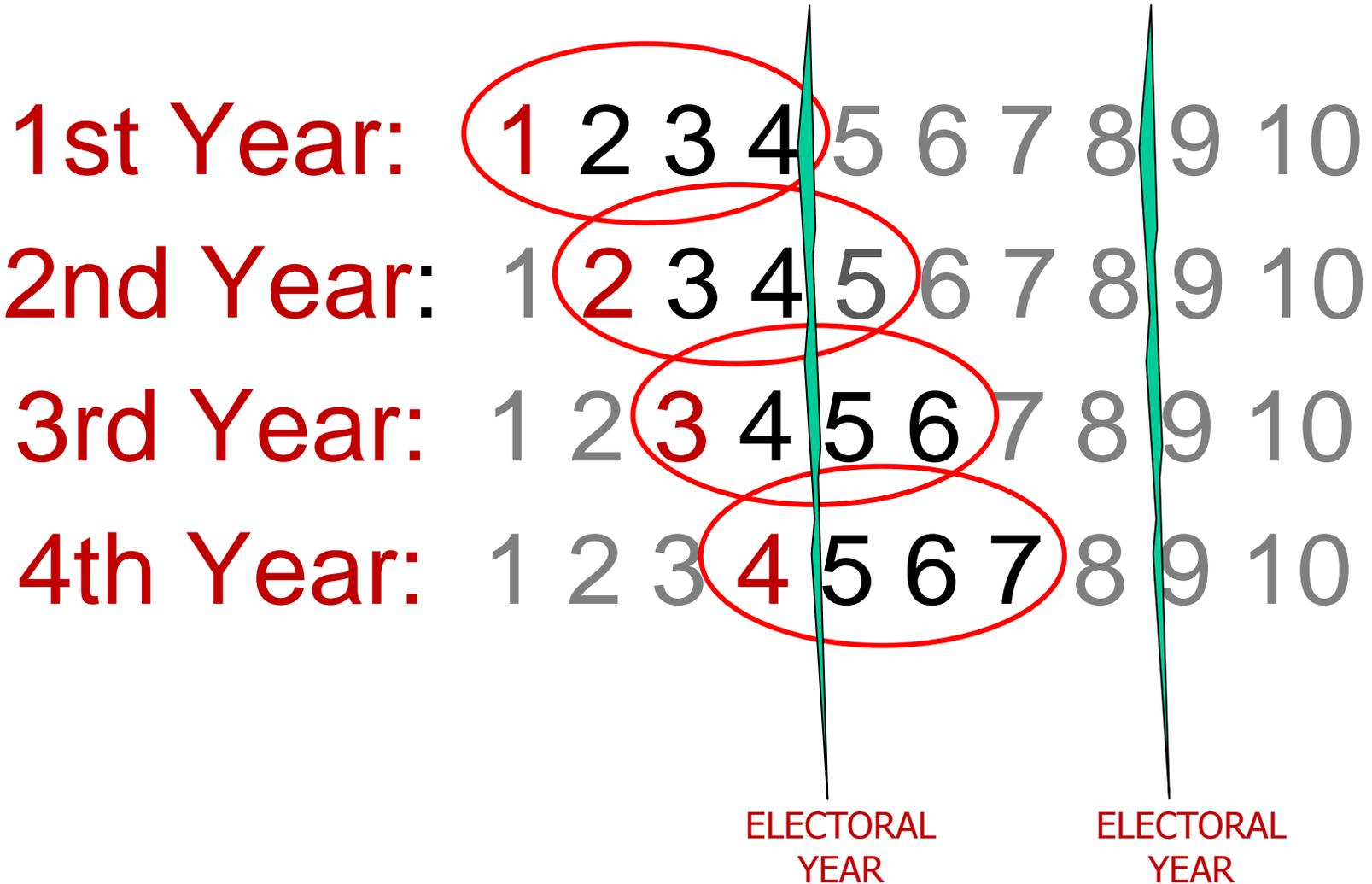


## AWARENESS of THE NEED of LINKING year budgeting to PLURIANNUAL/VISIONARY/LONG TERM PLANNING.

Grottammare (Marche, Italy) since 1994 worked on Participatory Planning, and in 2002 integrated it with PB, discussing private/public partnerships, to discuss its tourist-resources as “commons” ...



The need to start to impact the multiannual plan, revising it every year to check the new “urgencies”...



# The need of giving to PB **LEADERSHIP** (as an act of service) in systems connecting other processes



# Os But MAINLY there is the growing awareness taht people WANT TO BE THE REAL PROTAGONIST of the processes



### O AGRUPAMENTO DE ESCUTEIROS DE SANTIAGO DE BOUGADO JÁ GANHOU EM 2011. E A TUA ASSOCIAÇÃO?

Os Escuteiros apresentaram o projeto Trofa Ativa ao Orçamento Participativo Jovem da Trofa e em 2012 o Souto de Bairros vai receber um conjunto de equipamentos desportivos adequados à prática desportiva para toda a população. **Através da iniciativa dos Escuteiros ganhou toda a população da Freguesia e do Concelho.**

#### SABES O QUE É O ORÇAMENTO PARTICIPATIVO JOVEM?

É um projeto da Câmara Municipal da Trofa em que podes participar sozinho, com os teus amigos, na tua escola ou na tua associação, apresentando ideias e propostas para melhorares a tua rua, o teu bairro, a tua escola, a tua freguesia ou o teu Concelho.

#### O QUE PODES PROPOR?

Se tens entre 10 e 30 anos e vives, estudas ou trabalhas na Trofa, podes elaborar e apresentar projetos em áreas como a Cultura, o Desporto, a Educação, a Juventude, o Ambiente ou o Apoio Social, até **20 de abril de 2012** pelo email [opjatrafal@mun-trofa.pt](mailto:opjatrafal@mun-trofa.pt), mas antes tens que te inscrever obrigatoriamente no site <http://opjatrafal.com/>.

#### COMO SÃO ESCOLHIDOS OS PROJETOS A EXECUTAR?

Os projetos são escolhidos por todos os jovens que participaram na Assembleia Municipal Jovem que vai decorrer a **26 de maio de 2012**. Os projetos são apresentados pelos jovens autores e vão depois a votação.

**Como vês o Orçamento Participativo Jovem da Trofa pode melhorar o nosso Concelho. Todos ficamos a ganhar. Participa.**

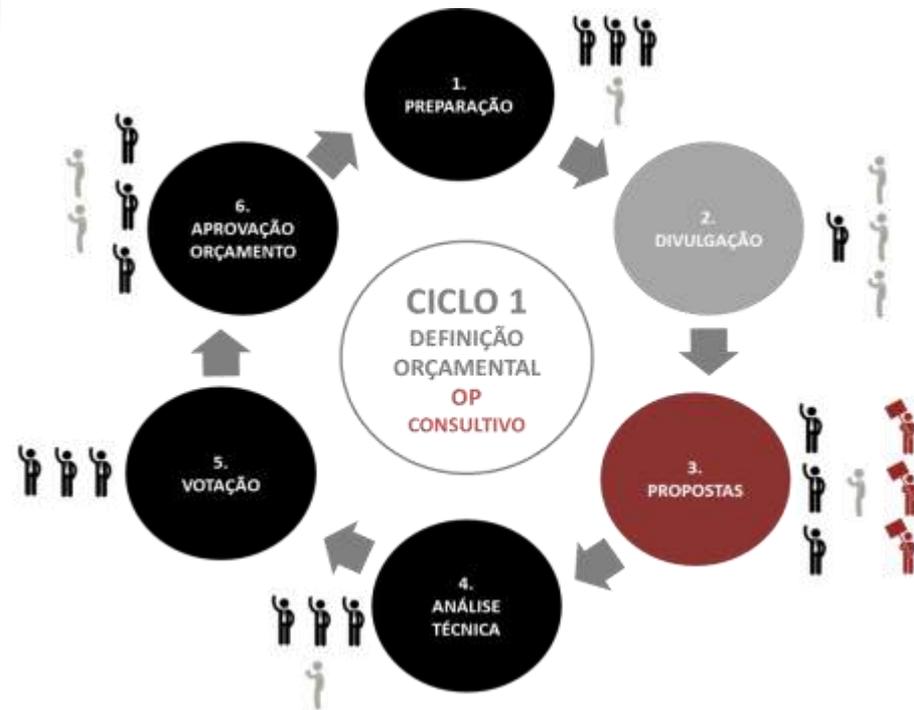
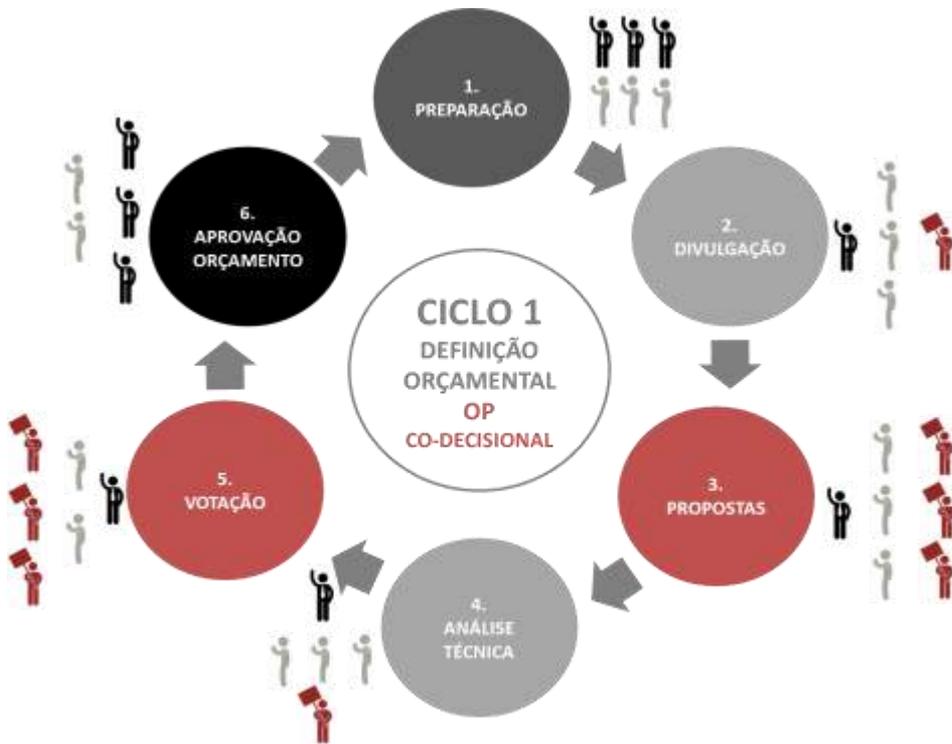
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**trofa**  
Associação Municipal de Jovens

THAT REQUIRES to gradually expel the (politico-technical) Gatekeepers from several phases of the PB process



A huge problem is the **overlapping of TECHNOBUREAUCRACY** on what people is doing, limiting citizens' presence to some moments of imagining policies, and then **ACTIONG THROUGH TRADITIONAL BEAUROCRATIC PROCEDURES....**



So is very importante to involve people in every possible part of the Budget Cycle, so to make them feel as protagonists (if they want)

**CODECISIONAL MODEL of PARTICIPATORY BUDGETING**

**ADVISORY MODEL of PARTICIPATORY BUDGETING**

A large Project (funded by Horizon 2020) will start in January to create a platform which can TALK to the citizens, but also can help to study the “collaboration dynamics” behind the decision-making, valuing cyber-activism and allow self-evaluation...



<http://empatia-project.eu/>

**Thanks for your patience!**  
**Grazie per la vostra pazienza!**

*Giovanni Allegretti*



Questions are  
welcome!



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